SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Bartram Richard	2. Date of Event Requiring Statement (Month/Day/Year) 12/14/2017		3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Esperion Therapeutics, Inc.</u> [ ESPR ]					
(Last) (First) (Middle) C/O ESPERION THERAPEUTICS, INC.			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Conflicer (give title Other (specify			5. If Amendment, Date of Original Filed (Month/Day/Year)		
3891 RANCHERO DRIVE, SUITE 150			below) below)		í [6. lr	6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street)			Chief Financial C	Officer	2	Form filed b	y One Reporting Person	
ANN MI 48108						Form filed b Reporting P	y More than One erson	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownersh Form: Direc or Indirect ( (Instr. 5)	ect (D) (Instr. 5)			
Common Stock			1,200	D				
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Inst		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Stock Option (right to buy)	(1)	04/24/2018	3 Common Stock	17,892	3.7	D		
Stock Option (right to buy)	(1)	07/23/2023	3 Common Stock	15,000	17.11	D		
Stock Option (right to buy)	(2)	12/20/2023	3 Common Stock	17,200	12.92	D		
Stock Option (right to buy)	(3)	01/02/2025	5 Common Stock	30,000	41.23	D		
Stock Option (right to buy)	(4)	03/18/2025	5 Common Stock	12,000	105.72	D		
Stock Option (right to buy)	(5)	01/04/2026	5 Common Stock	25,000	21.65	D		
Stock Option (right to buy)	(6)	01/03/2027	7 Common Stock	30,000	12.88	D		
Stock Option (right to buy)	(7)	02/21/2027	7 Common Stock	6,500	24.42	D		

Explanation of Responses:

1. The options are fully vested as of the date hereof.

2. The options vest over a four (4) year period. 25% of the shares vested on December 20, 2014, and an additional 1/16th vests on each quarterly anniversary of such date thereafter.

3. The options vest over a four (4) year period in equal quarterly installments, the first of which was on April 2, 2015.

4. The options vest over a four (4) year period in equal quarterly installments, the first of which was on June 18, 2015.

5. The options vest over a four (4) year period in equal quarterly installments, the first of which was on April 4, 2016.

6. The options vest over a four (4) year period in equal quarterly installments, the first of which was on April 3, 2017.

7. The options vest over a four (4) year period in equal quarterly installments, the first of which was on May 21, 2017.

## Richard B. Bartram

12/14/2017

\*\* Signature of Reporting Person

<u>12/14/2</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.